

# Milestones and key preparatory activities for poliovirus containment certification

**CCS 1-pager**



**Milestones and key preparatory activities for National Authorities for Containment and Poliovirus-Essential Facilities aiming at poliovirus containment certification against [GAPIII](#), following the [GAPIII Containment Certification Scheme](#)**

Certificate of Participation (CP)	Interim Certificate of Containment (ICC)	Certificate of Containment (CC)
<i>For a designated poliovirus-essential facility (PEF) engaging in the mechanism to achieve an ICC/CC</i>	<i>For a CP-holding PEF that cannot achieve full GAPIII compliance during the PV2 containment period</i>	<i>For a CP- or ICC-holding PEF that has achieved full compliance with GAPIII</i>
<p><b>Pre-requisites to achieve a CP:</b></p> <ul style="list-style-type: none"> <li>• Country to designate PEF</li> <li>• Country to establish and mandate NAC</li> </ul> <p><b>Milestones</b></p> <ul style="list-style-type: none"> <li>• GCC-endorsed CP issued to each eligible facility; maximum validity: 1.5 years <b>NACs and PEFs should process the CP applications as soon as possible and no later than June 2019</b></li> </ul> <p><b>Key Activities</b></p> <p><b>NAC</b></p> <ol style="list-style-type: none"> <li>1. Establish GAPIII auditing capacity</li> <li>2. Document implementation of GAPIII secondary and tertiary safeguards, as applicable</li> <li>3. Review applications from facilities</li> <li>4. Submit satisfactory applications and supporting documents for review to the Global Commission for the Certification of the Eradication of Poliomyelitis (GCC) Containment Working Group (GCC-CWG) at <a href="mailto:containmentcertification@workspace.who.int">containmentcertification@workspace.who.int</a></li> <li>5. Issue a CP signed by the NAC and countersigned by the GCC to facilities recognized as suitable for PEF status or planning to stop activities with poliovirus</li> </ol> <p><b>Designated PEF</b></p> <ol style="list-style-type: none"> <li>1. Apply to NAC for a CP using the CP form available at <a href="http://polioeradication.org/polio-today/preparing-for-a-polio-free-world/containment/containment-resources/">http://polioeradication.org/polio-today/preparing-for-a-polio-free-world/containment/containment-resources/</a>. Use the English version for consideration by the GCC-CWG</li> </ol>	<p><b>Pre-requisites to achieve an ICC:</b></p> <ul style="list-style-type: none"> <li>• PEF holds a valid CP</li> </ul> <p><b>Milestones</b></p> <ul style="list-style-type: none"> <li>• GCC-endorsed ICC issued to CP-holding PEF demonstrating adequate alternative control measures where GAPIII requirements cannot be met; validity: 3 years + maximum of two 1-year extensions</li> <li>• <b>Deadline for ICC issuance:</b> within maximum 18 months of CP issuance date</li> </ul> <p><b>Key Activities</b></p> <p><b>NAC</b></p> <ol style="list-style-type: none"> <li>1. Establish a contract/agreement with each CP-holding PEF to formally engage them in the ICC process</li> <li>2. Conduct a gap assessment/initial visit at each CP-holding PEF</li> <li>3. Conduct a full scope initial audit against Annex 2 or 3 of GAPIII at each CP-holding PEF</li> <li>4. Submit audit report to facility and, if necessary, request for a corrective action plan (CAP)</li> <li>5. Review CAP and communicate any additional requested actions to PEF</li> <li>6. Submit satisfactory ICC applications and supporting documentation to the GCC-CWG for review at <a href="mailto:containmentcertification@workspace.who.int">containmentcertification@workspace.who.int</a></li> <li>7. Issue an ICC signed by the NAC and countersigned by GCC to each successful ICC applicant</li> <li>8. Perform yearly periodic audits to confirm ICC validation at each ICC-holding PEF</li> </ol> <p><b>PEF</b></p> <ol style="list-style-type: none"> <li>1. Enter into a contract/agreement with the NAC to formally engage in the ICC process</li> <li>2. Be assessed by the NAC-commissioned audit team (gap assessment/initial visit) against GAPIII in preparation for the first full scope audit</li> <li>3. Prepare risk assessments for identified ICC-nonconformities</li> <li>4. Be fully assessed against GAPIII</li> <li>5. Develop a CAP and close out all identified nonconformities</li> <li>6. Once an ICC is obtained, plan to receive yearly periodic audits</li> </ol>	<p><b>Pre-requisites to achieve a CC:</b></p> <ul style="list-style-type: none"> <li>• Country meets GAPIII secondary and tertiary safeguards, as applicable</li> <li>• PEF holds a valid CP/ICC</li> </ul> <p><b>Milestones</b></p> <ul style="list-style-type: none"> <li>• GCC-endorsed CC issued to each CP- or ICC-holding PEF presenting evidence that all GAPIII requirements have been met; validity: 3 years, renewable</li> <li>• <b>Deadline for CC issuance:</b> within maximum 18 months of CP or maximum 5 years of ICC issuance date</li> </ul> <p><b>Key Activities</b></p> <p><b>NAC</b></p> <ol style="list-style-type: none"> <li>1. If a CC is sought directly from a CP, follow the same process as for an ICC, but without the risk assessments for identified ICC-nonconformities</li> <li>2. In cases of transition from an ICC to a CC, conduct an audit and verify that all ICC-nonconformities have been closed out at each ICC-holding facility</li> <li>3. Submit satisfactory CC applications and supporting documentation to the GCC-CWG for review at <a href="mailto:containmentcertification@workspace.who.int">containmentcertification@workspace.who.int</a></li> <li>4. Issue a CC signed by the NAC and countersigned by the GCC to each successful CC applicant</li> <li>5. Perform periodic (annual) and full scope audits (every 3 years) of each CC-holding PEF</li> </ol> <p><b>PEF</b></p> <ol style="list-style-type: none"> <li>1. Unless already done for an ICC, enter into a contract/agreement with the NAC to formally engage in the CC process</li> <li>2. Unless already done for an ICC, be assessed by the NAC-commissioned audit team (gap assessment/initial visit) against GAPIII in preparation for the first full scope audit</li> <li>3. Be fully assessed against GAPIII</li> <li>4. Develop a CAP and close out all identified nonconformities</li> <li>5. Once a CC is obtained, plan to receive yearly periodic audits</li> </ol>