Poliovirus containment

GUIDANCE FOR NON-POLIOVIRUS FACILITIES TO MINIMIZE RISK OF SAMPLE COLLECTIONS POTENTIALLY INFECTIONOUS FOR POLIOVIRUSES
# CONTENTS

Acknowledgments........................................................................................................................... 3  
Acronyms ........................................................................................................................................ 4  
Preface ........................................................................................................................................... 5  
Introduction .................................................................................................................................... 5  
Purpose ......................................................................................................................................... 6  
Rationale ....................................................................................................................................... 6  
Strategy ....................................................................................................................................... 7  
Implementation .............................................................................................................................. 8  
Categorization of poliovirus PIM according to risk................................................................. 8  
Risk factors for categorization of poliovirus PIM into risk groups.................................................. 9  
Biorisk management of poliovirus PIM....................................................................................... 12  
   A. Collections with potential for WPV/VDPV ........................................................................ 12  
   B. Collections with potential only for OPV/Sabin and related strains.................................. 13  
   C. Guidance for short-term retention of historical collections while final disposition is being determined ........................................................................................................................................ 16  
Annex 1: Poliovirus permissive cell lines ...................................................................................... 17  
Annex 2: Country and territory-specific poliovirus data .............................................................. 18  
Annex 3: References ..................................................................................................................... 20
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### ACRONYMS

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
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<tbody>
<tr>
<td>AFP</td>
<td>Acute flaccid paralysis</td>
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<tr>
<td>CCS</td>
<td>GAPIII Containment Certification Scheme</td>
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<td>CC</td>
<td>Certificate of containment</td>
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<td>ICC</td>
<td>Interim certificate of containment</td>
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<td>CP</td>
<td>Certificate of participation (in the containment certification process)</td>
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<td>CCID&lt;sub&gt;50&lt;/sub&gt;</td>
<td>Cell culture infectious dose, 50% endpoint</td>
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<td>CSF</td>
<td>Cerebrospinal fluid</td>
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<td>GAPIII</td>
<td>Global Action Plan III for Poliovirus Containment</td>
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<td>GCC</td>
<td>Global Commission for the Certification of the Eradication of Poliomyelitis</td>
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<td>IPV</td>
<td>Inactivated polio vaccine</td>
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<td>MoH</td>
<td>Ministry of Health</td>
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<td>NAC</td>
<td>National authority for containment</td>
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<td>NCC</td>
<td>National Certification Committee for Poliomyelitis Eradication</td>
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<td>OPV</td>
<td>Oral polio vaccine</td>
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<tr>
<td>bOPV</td>
<td>Bivalent oral polio vaccine (containing attenuated Sabin poliovirus type 1 and type 3)</td>
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<td>mOPV</td>
<td>Monovalent oral polio vaccine (containing one type of attenuated Sabin poliovirus)</td>
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<tr>
<td>tOPV</td>
<td>Trivalent oral polio vaccine (containing attenuated Sabin poliovirus type 1, type 2 and type 3)</td>
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<tr>
<td>PEF</td>
<td>Poliovirus-essential facility</td>
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<td>PIM</td>
<td>Potentially infectious material</td>
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<td>PV</td>
<td>Poliovirus</td>
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<td>RI</td>
<td>Routine immunization</td>
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<td>RNA</td>
<td>Ribonucleic acid</td>
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<td>SIA</td>
<td>Supplementary immunization activity</td>
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<tr>
<td>VDPV</td>
<td>Vaccine-derived poliovirus</td>
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<td>aVDPV</td>
<td>Ambiguous vaccine-derived poliovirus</td>
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<tr>
<td>cVDPV</td>
<td>Circulating vaccine-derived poliovirus</td>
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<tr>
<td>iVDPV</td>
<td>Immunodeficiency-associated vaccine-derived poliovirus</td>
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<tr>
<td>WHO</td>
<td>World Health Organization</td>
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<td>WPV</td>
<td>Wild poliovirus</td>
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**PREFACE**

This guidance is intended to facilitate the identification of materials potentially infectious for polioviruses within laboratories that handle human stool specimens, respiratory samples, or environmental sewage. Depending on the place and time of collection, these materials may contain infectious polioviruses, which are eradicated (type 2) or nearly eradicated (types 1 and 3) in the wild. Identifying, eliminating the risk through destruction, or mitigating the risk of handling such materials is essential not only to maintain the safety of laboratory workers and their communities but also for the success of the global polio eradication effort.

**INTRODUCTION**

The Global Polio Eradication Initiative, launched in 1988, has been the largest international public health effort ever undertaken (1). Billions of children have been immunized and millions of paralytic poliomyelitis cases have been prevented through the donations of individuals and organizations, dedicated efforts of governments at all levels, and countless volunteer hours (1).

In 2015, the Global Commission for the Certification of the Eradication of Poliomyelitis (GCC) certified the eradication of wild poliovirus type 2 (WPV2) (2). The eradication of WPV1 and WPV3, and the elimination of circulating oral polio vaccine-derived polioviruses (cVDPV) is anticipated in the near future (3), along with the gradual disappearance of immunodeficiency-associated VDPV (iVDPV) excreters. At that point, the only remaining poliovirus (PV) reservoirs will be the facilities retaining PV infectious or PV potentially infectious materials (PIM) (2, 4-6). Nations responsible for these facilities must assure the world that these reservoirs do not present a post-eradication risk of re-emerging paralytic disease due to polioviruses that could undermine this extraordinary humanitarian achievement.

In May 2015, the World Health Assembly voted to provide risk reduction guidance for PV facilities by endorsing the Global Action Plan to minimize poliovirus facility-associated risk after type-specific eradication of wild polioviruses and sequential cessation of OPV use (GAPIII; http://polioeradication.org/wp-content/uploads/2016/12/GAPIII_2014.pdf) (2, 7, 8). As these facilities work with PV, they have the advantage of being aware of the nature of the agents, the operational risks, and effective containment measures to reduce those risks.

Facilities that collect, handle and store clinical and environmental samples for purposes other than polio research present a PV transmission risk if samples were collected in a place and time where WPV or vaccine-derived PV (VDPV) were circulating or oral polio vaccine (OPV) was being used. These facilities are at a disadvantage in that the potential presence of an infectious PV in such samples is both undesirable and uncertain.

Facilities that may possess PV PIM include those working in diarrhoeal and respiratory disease research, nutritional research and other human research areas that involve using faecal and respiratory samples, and environmental research areas using concentrated raw sewage (4, 9-15). Areas of particular risk include, (but are not limited to), enterovirus, rotavirus, norovirus, hepatitis A and E, and enteric bacterial agents including E. coli, Shigella, as well as respiratory agents such as influenza, measles and other respiratory samples.
**Purpose**

The purpose of this guidance is to assist facilities in assessing the risk of PV PIM in their possession and to implement appropriate risk reduction consistent with GAP III.

At the time of publication, this guidance is in effect for all type 2 PV PIMs. Countries and facilities are also encouraged to identify and report PV1 and PV3 PIMs in anticipation of eradication completion and bOPV cessation, at which time this guidance will apply to all polioviruses.

**Rationale**

Transmission of the three serotypes of PV is maintained by person-to-person infection of humans, with no evidence of an extra-human animal reservoir (16). Most PV infections are asymptomatic, with paralytic poliomyelitis occurring in less than 1% of WPV infections (16). A reported community outbreak of 10 paralytic poliomyelitis cases may be the result of 1,000 – 10,000 asymptomatic infections (4). Any faecal, respiratory secretion, or concentrated sewage samples collected in the community during that time and stored by a facility for whatever purpose are considered PV PIM, which include:

- Faecal or respiratory secretion samples and their derivatives (e.g., stool suspensions, extracted nucleic acids, etc.) collected for any purpose in a geographic area where WPV/cVDPV is present or OPV is being used at the time of collection
- Products of such materials (above) from PV-permissive cells or experimentally infected polio-susceptible animals (17-19)
- Uncharacterized enterovirus-like cell culture isolates derived from human specimens from countries known or suspected to have circulating WPV/VDPV or use of OPV at the time of collection
- Respiratory and enteric virus stocks derived from PV PIM and handled under conditions conducive to maintaining the viability or enabling the replication of incidental PV (Annex 2)
- Environmental samples (i.e. concentrated sewage, wastewater) collected from areas known or suspected to have circulating WPV/VDPV or use of OPV at the time of collection

Because no diagnostic test is 100% sensitive and available tests may differ widely in their sensitivity and degree of validation, it is impossible to exclude the presence of PV in a given sample.

The non-PV facility with PV PIM collections is similar to the PV facility in that:

1. Both are possible sources of facility-associated transmission.
2. Both require facility-specific risk assessments, based on type of PIM, procedures used, and facility safeguards.
3. Both must implement measures to reduce risks.

The non-PV facility is different from the PV facility in that:

1. PV is not its field of work.
2. PV may be encountered only as an incidental, undesirable agent.
3. PV may be present in clinical samples at varying rates and moderate titres.
4. PV titres are usually not enriched by agent-specific procedures.
5. Historic PIM collections are retained for special studies.

The inclusion of all facilities with PV PIM in global PV containment efforts is crucial. Any possible advantage of lower facility-transmission risk of a facility could be wholly offset by the facility worker.
Guidance for non-poliovirus facilities to minimize risk of sample collections potentially infectious for polioviruses

who is uninformed, unaware, or unconcerned about PV PIM risks or untrained in procedures to reduce those risks (4, 20).

Whether originating from a PV or non-PV facility, the global health and economic consequences of facility-associated PV transmission are the same.

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**Risk is defined in these guidelines as the potential for release of PV from the facility into polio-free community**

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**STRATEGY**

The global strategy for minimizing risks from the non-PV facility is aligned to the one outlined for the PV facility in GAPIII: 1) risk elimination by PV PIM destruction, inactivation, or transfer to a “poliovirus-essential facility” (PEF) in the same or a different country/region, and 2) biorisk management by those facilities that retain PV PIM and meet the required safe-handling and containment requirements.

**Risk elimination: The goal is no PV PIM.** Facilities should carefully consider the required resources and set a high bar when deciding on whether to retain PV PIM collections, particularly those with WPV/VDPV potential. The scientific value of retaining a specific PV PIM sample collection should be carefully weighed against the public health value of its destruction. Often, the scientific value of PIM collection may be retained via inactivation, fixation or nucleic acid extraction.

**Biorisk management:** Facilities electing to retain scientifically valuable PV PIM collections should be familiar with and prepared to meet biorisk management standards adequate for risk mitigation, addressing accidental exposure and release, as well as loss, theft, misuse, diversion, unauthorized access or malicious release of PV PIM.

For PV PIM collections with WPV/VDPV potential, the requirements are described in GAPIII, Annex 2, *Biorisk management standard for poliovirus-essential facilities holding wild poliovirus materials*. These are stringent standards as required for an eradicated agent and should be in place when working with these PV potentially infectious collections. Alternatively, nucleic acids may be extracted from PV PIM or the materials may be inactivated using an appropriate method (Containment Advisory Group June 2017 Report, [http://polioeradication.org/wp-content/uploads/2017/08/CAG1-Report-30082017.pdf](http://polioeradication.org/wp-content/uploads/2017/08/CAG1-Report-30082017.pdf)). However, these procedures must be performed within proper containment.

For PIM collections with OPV/Sabin potential, facilities must meet the biorisk management standards described in this publication.

**Responsibility for compliance lies with the facility and its respective national authorities (e.g. Ministry of Health [MoH]), in coordination with National Certification Committees (NCCs), National Polio Containment Coordinators (NPCCs), and other relevant stakeholders where applicable.**
IMPLEMENTATION

Containment timelines are described in detail in GAPIII and consist of three phases leading to the containment of all WPV/VDPV, OPV/Sabin strains, and OPV derivatives, which will occur when PV eradication is complete.

PV type 2 (PV2) containment is already in progress and includes all WPV type 2 (WPV2) and OPV Sabin type 2 (OPV2) viruses (2). WPV2 was declared eradicated by the GCC in 2015. Trivalent OPV (tOPV; active against PV types 1, 2, and 3) was replaced with bivalent OPV (bOPV; active against PV types 1 and 3) in 2016 to reduce the number of OPV2-associated paralytic poliomyelitis cases and cVDPV2 outbreaks (2). Type 2 is the most transmissible of the three OPV/Sabin strains (4). Monovalent OPV2 (mOPV2) has been used in supplemental immunization activities (SIAs) in certain countries to interrupt cVDPV2 outbreaks (Annex 3). At the time of tOPV withdrawal, inactivated poliovirus vaccine (IPV) was introduced in routine immunization (RI) programmes in select high-risk countries to maintain immunity for PV2 (21). As a consequence of these actions:

- The inventory to identify facilities with PV2, destruction of unneeded PV2 material or transfer of the material to a PEF, and preparation for PV2 containment in facilities retaining such material is nearing completion for PV facilities;
- Containment of WPV2/VDPV2 and OPV2/Sabin2 strains in PV facilities is in progress and running in parallel;
- **Implementation of risk reduction actions by facilities for PIM with PV2 potential is a matter of urgency**: GCC set the deadline for completion of the identification, destruction, transfer or containment (Phase I) for all PV2 at one year after the publication of this guidance;
- Final containment of all WPV/VDPV and OPV/Sabin PVs, of all three serotypes, will commence when global WPV transmission has not been detected for a minimum of three years (the standard for certification), followed by the planned cessation of bOPV usage.

CATEGORIZATION OF POLIOVIRUS PIM ACCORDING TO RISK

The evidence-based rationale for categorizing sample collections according to relative risks is derived from data provided in this document in *Rationale, Risk factors for categorization of poliovirus PIM into risk levels* and Annex 2 (*Country and territory-specific poliovirus data*).

The PV transmission risk of a PV PIM collection is a product of multiple elements including the nature of the sample collection (when, where, and what was collected), the PV(s) that may be present (WPV/VDPV or OPV/Sabin), hazards of the laboratory procedures being used, and worker/community susceptibility (4).

PV PIM sample collections may be categorized into one of two divergent risk groups based on PV virulence and transmissibility. Of greatest risk are collections with potential for WPV/VDPV, which are the target viruses of the Global Polio Eradication Initiative. Of lower risk are collections with potential for only OPV/Sabin PV and related strains, which have been used for immunization of untold numbers of children for more than 50 years (4).

Despite the safety record of OPV in RI programmes, all three attenuated PV types in the vaccine have been linked to rare vaccine-associated paralytic poliomyelitis (22). Further, under certain conditions of low immunization rates of populations in high-risk environments, prolonged replication of OPV/Sabin PV can lead to a loss of attenuation, and production of VDPV (22, 23). cVDPVs pose a public health threat, as outbreaks of paralytic poliomyelitis that clinically were indistinguishable from WPV infection
have occurred due to each PV serotype, with >90% of cVDPV outbreaks associated with VDPV2 (22, 24). People with primary B-cell immunodeficiencies exposed to OPV can develop a chronic PV infection leading to immunodeficiency-associated VDPV (iVDPV) (22). While iVDPV has not been identified as the source of a PV outbreak, the prolonged shedding of virulent strains of PV represents a potential threat to the global eradication of PV. Ambiguous VDPVs (aVDPVs) are isolates from people without a known primary B-cell immunodeficiency or from environmental samples (e.g. concentrated sewage) with unknown human source, neither of which is genetically linked to another VDPV (22).

**RISK FACTORS FOR CATEGORIZATION OF POLIOVIRUS PIM INTO RISK GROUPS**

The PV transmission risk of a PV PIM collection is a product of multiple elements including the conditions under which the samples were stored, the nature of the sample collection (when, where, and what), the PV(s) that may be present (WPV/VDPV or OPV/Sabin), the hazards of laboratory procedures, and worker/community susceptibility (4).

PIM risk divides naturally into two widely divergent risk groups based on PV virulence and transmissibility. Collections with potential for WPV/VDPV are highest risk and are required to be stored and handled only within PEFs. Collections with potential for only OPV/Sabin PV and related strains present lower risks and may be handled under specific conditions within non-PEFs. These categories are not overlapping. However, within each category there are factors that may raise or lower risk of facility-associated transmission. **All facilities that propose to retain PV PIM collections should prepare a thorough risk assessment, with the objective of minimizing risks of release of PV back into polio-free communities.**

After eradication, susceptibility may change as immunization policies and coverage change.

**What samples were collected**

Infection of humans with WPV is predominantly via the faecal-oral route (16). OPV is administered orally. Ingestion of either form of PV by a non-immune person leads to an initial brief infection in the throat followed by a more prolonged infection of the gut epithelium (4). A short period of viremia may occur during the early phase of infection (4). In rare instances, the virus may cross the blood-brain barrier and lead to meningitis or paralytic poliomyelitis, depending on the site of virus replication (4). PV may replicate in the gut without an initial throat infection (4). The following describes the relative risk of different sample types.

**Faeces:** PV isolation rates vary widely in samples collected from asymptomatic subjects in a time and place where WPV/VDPV or OPV-derived viruses were in circulation or where OPV was in use. A stool survey of asymptomatic children in Cartagena, Colombia in 1989 reported a WPV isolation rate of 8% (26), while the highest rate reported in a similar survey was 19% in Mumbai, India in 1994 (27). A survey of asymptomatic persons of all ages in index households and neighboring households in Uttar Pradesh, India in 2009 found 4.8% were shedding WPV. The same study reported a 2.4% stool-positive rate for any PV in Bihar, India (28).

Incidental PV in PIM has been found in stool samples stored for more than 20 years in a gastroenteritis laboratory. In the first collection of 82 samples, viable WPVs were recovered from six samples and Sabin PV was recovered from one sample (9% in total) (29) (http://archives.who.int/vaccines/en/poliolab/2002/VolVIIIIsuueII.pdf). In the second collection, six Sabin PVs were recovered from 183 samples (3%) (29) (http://archives.who.int/vaccines/en/poliolab/2002/VolVIIIIsuueII.pdf). Because of extensive
immunization campaigns, Sabin PVs may be incidentally detected in stool samples of acute flaccid paralysis (AFP) cases, even though the Sabin PV is not a cause of the paralysis (4). In 2016, for example, Sabin PV was detected in 5.2% of 241,999 stool samples collected globally for AFP surveillance (30).

WPV strains present the greatest transmission risk, with an estimated human minimum infectious dose of 100-fold less than for OPV strains (~10 CCID₅₀ for WPV vs ~10³ CCID₅₀ for OPV strains) (4). Epidemiologic models and field studies estimate transmissibility for WPV/VDPV to be more than 10-fold greater than for OPV (4). Secondary spread of WPV was reported to approach 90% among susceptible contacts in family and institutional settings, with secondary spread of OPV strains less than half that (4).

OPV circulation in the community rarely exceeds three months after an immunization campaign (31-33). Immunologically naïve subjects may shed WPV/VDPV, OPV, or OPV-derived viruses over a range of cell culture infectious doses (CCID) up to 10⁶ CCID₅₀/g stool (mean ~10⁴ CCID₅₀/g stool) for 6 weeks to 3 months, although shedding duration sometimes may be less for OPV/Sabin strains (4). PV re-infections of the gut may occur, depending on the virus challenge dose and length of time since receipt of OPV or natural infection. Virus concentration and duration of faecal shedding is generally lower on re-infection (4). IPV immunization has little or no effect on the susceptibility of the gut to PV infection (4, 34).

**Nasopharyngeal, oropharyngeal, and other upper respiratory tract secretions:** Similarly, WPV/VDPV and OPV/Sabin viruses may be recovered from respiratory secretions of naïve subjects at equivalent concentrations for a period of 2-6 days post-infection (4). Virus shedding wanes and usually disappears at 7-10 days post-infection, coinciding with the appearance of serum antibodies (4). Virus is rarely recovered from respiratory secretions after WPV or OPV challenge of persons with measurable serum antibody, including IPV recipients (4). Based on the limited duration of post-infection virus shedding and absence of shedding on re-infection, the probability of recovering PV from respiratory secretions in surveys is estimated to be <1%, or at least 10-fold less than from stool samples (4). During a community survey in Bihar, India in 2009, PV-positive rates for respiratory samples were 0.1%, ~20-fold less than for stool samples (2.4%) (28).

**Sewage:** PV recovery from raw sewage usually involves some form of entrapment or sample concentration (e.g., filtration, centrifugation, or phase separation). Recovery of WPV or OPV/Sabin has been reported from raw sewage samples, but the concentration of infectious virus is usually <1 CCID₅₀/ml, well below the estimated infectious dose for either OPV strains or WPV (4, 13, 34-37). The PV content of sewage concentrates may be several logs higher, depending on the method employed (4).

**Cerebrospinal fluid (CSF), serum, and blood:** PV is rarely recovered from CSF (4, 38). Blood samples yield WPV in <25% of infected persons with levels usually low (<50 CCID₅₀/ml) (4). A similar low-level viremia pattern in OPV recipients has been observed for Sabin type 2, but no viremia has been reported for Sabin types 1 and 3 (4). Consequently, collections of CSF, serum, and blood samples are not considered PV PIM.

**Sample storage conditions**

Poliovirus in clinical or environmental specimens survive indefinitely in the laboratory freezer (<-20°C), for many months in the refrigerator, and for hours to days on the bench top (4).

**Who were samples collected from**

**Age of subjects:** Children <5 years old are the group most often infected during a WPV epidemic and are the target population for RI programmes and multiple OPV campaigns. Children 6-15 years old are rarely included in OPV campaigns, but may be infected or re-infected by WPV or OPV-derived viruses circulating in the family or community (4). Re-infection of immunologically experienced adults and older children is less likely, but appears to be a function of virus dosage (4). Re-infections of older children or adults rarely result in virus recovery from throat samples, and faecal shedding may be greatly reduced in virus content and duration (4).
When and from where samples were collected
The “when and from where” of the collection indicates the likelihood of PV being present. Annex 2 provides country-specific PV data for time of last estimated presence of WPV, time of last estimated presence of VDPV, and last use of OPV/Sabin, by PV type, for any given country.

Laboratory hazards

**Inoculating/harvesting PV-permissive cells:** Attempts to isolate other infectious agents from PV PIM collections using PV-permissive cell cultures (Annex 1) may result in an enhanced PV content of up to $10^8$ CCID50/ml (4, 39). This possible >$10^5$ increase in virus concentration over the original clinical sample greatly increases the risk to the laboratory worker, particularly if the identity of the amplified incidental PV is unrecognized.

Full-length PV RNA can infect permissive cell lines, facilitated by using transfection reagents (40, 41). Unknown to the laboratory worker, the extraction of nucleic acid from PV PIM could coincidentally co-purify PV RNA. The subsequent transfection of the RNA into PV-permissive cells may generate infectious PV particles, possibly at high titres (41).

**Aerosol-generating laboratory procedures:** Procedures that may create aerosols through the release of liquids under pressure (sprays), dropping or breaking containers, mixing of suspensions, mechanical blending, shaking, or pouring constitute a high risk (4). The survival of PV in the laboratory environment is favored by higher initial titre, lower temperatures, a moist environment, and the presence of stabilizing material such as organic matter (4). The laboratory worker may be infected directly through ingestion of droplets or indirectly through contaminated work surfaces or clothing (4). High-content PV materials (high titre and/or high volume) represent the highest risk.

**Facility effluent potential**

The risk of community exposure through liquid effluents generated within the facility requires a facility-by-facility assessment and will depend on potential PV content, nature of sewage system, and potential for human consumption (4). However, if the laboratory works with only OPV/Sabin PIM without further replication of incidental PV and adheres to good laboratory practices, the community risk is very low (4).

**Worker/community susceptibility**

**The facility/laboratory worker:** For OPV recipients, reinfection of the gut is a function of time between OPV or natural infection and the challenge virus dosage (4). IPV provides a high level of pharyngeal protection but little or no immunity to gut infection (4). IPV recipients are not at risk of paralytic poliomyelitis, but could be at risk of transmitting WPV or OPV/OPV-derived viruses to their family and community through PV-contaminated skin or clothing, silent infections of the gut, or work practices that may contribute to contamination of facility effluents (4).

**Community vaccine coverage:** The risk of outbreaks from laboratory-associated transmission is inversely proportional to population immunity. Risk may be assessed by percent vaccine coverage of persons <5 years old (4).

**Facility location:** Facility location should be taken into consideration if the facility is situated near high-risk populations with potentially elevated force of infection (high population density, inadequate hygiene standards, high birth rate, and suboptimal immunity) (4).
Guidance for non-poliovirus facilities to minimize risk of sample collections potentially infectious for polioviruses

Biorisk management of poliovirus PIM

Faecal, respiratory, concentrated sewage samples or derivatives of such samples may be potentially infectious for PV if they have been stored under conditions that maintain the viability of PV (Annex 1). If these samples were collected in/from a place and at a time when WPV or VDPV was in circulation (Annex 2), they are WPV/VDPV PIM and are subject to the full containment described in GAPIII, and must be stored and handled in a NAC-certified PEF, as briefly outlined in section A below. If WPV/VDPV were not in circulation, but OPV was in use (Annex 2), these samples are OPV/Sabin PIM and may only be handled outside a PEF under the conditions described in section B below.

Samples that are unlabelled, mislabelled, or for which the origin, type, date of collection, or ownership are unknown, should be inactivated or destroyed following procedures effective against PV. The retention of PV PIM is subject to the agreement of the responsible national authorities (MoH).

A. Collections with potential for WPV/VDPV

Facilities with WPV/VDPV PIM that do not plan to become a PEF must destroy, inactivate, or transfer the materials to a PEF. The retention of samples potentially infectious for WPV/VDPV must be approved by the responsible national authority and subjects the facility to the approval of the NAC and GCC, following CCS. Implementation of the following is required:

1. The responsible national authority (e.g. MoH) agrees to the retention of these materials.
2. The facility engages in the certification process against GAPIII requirements, and applies to the NAC for a Certificate of Participation (CP) in the certification process described in the GAPIII Containment Certification Scheme (CCS; http://polioeradication.org/wp-content/uploads/2017/03/CCS_19022017-EN.pdf).
3. A facility that is granted a CP is expected to continue the certification process as described in the CCS, and is allowed to continue the retention of relevant materials during the certification process, as described in the CCS.
4. The facility holding a CP for the retention of WPV/VDPV materials demonstrates compliance with requirements described in Annex 2 of GAPIII and applies to the NAC for a certificate of containment against GAPIII, as described in the CCS. During the poliovirus type 2 containment period, as described in CCS, an interim certificate of containment (ICC) will be issued to a CP-holding PEF if the NAC determines the facility does not meet all the requirements for full containment certification (CC) but has the ability to address the non-conformities identified. Once an ICC or a CC is obtained, the facility is certified as a poliovirus-essential facility (PEF).
5. A facility that is not designated to retain PV materials post-eradication has the option to destroy, inactivate, or transfer the relevant materials to a PEF.
6. The validity of a CP/ICC/CC is of limited duration, and subject to regular re-assessments, as described in the CCS.

PV nucleic acid extracted from WPV/VDPV infectious or PIM using methods demonstrated to inactivate poliovirus, or synthesized RNA, or cDNA can be handled outside of PV containment under the condition that these materials will not be introduced into PV-permissive cells or animals with or without a transfection agent, except under appropriate containment conditions as recommended by the Containment Advisory Group (CAG) in November 2017 (55).

Facilities that intend to retain WPV/VDPV PIM for a limited period (e.g. to complete research studies), may wish to consider applying for CP/ICC only, as described in CCS, and transfer to a CC-certified PEF or destroy their materials before their CP/ICC expires. Note that stringent requirements still apply during
this period. Facilities that intend to retain WPV/VDPV PIM long-term are expected to demonstrate full compliance with all GAPIII requirements and be granted a CC.

WPV2 is an eradicated agent, with WPV1 and WPV3 soon to follow. **Facilities are required to apply this guidance to PV2 PIM first:** GCC set the deadline for completion of the identification, destruction, transfer or containment (Phase I) for all PV2 at one year after the publication of this guidance, and recommended countries to complete Phase I for WPV1 and WPV3 materials by the end of Phase II of GAPIII.

**B. Collections with potential only for OPV/Sabin and related strains**

Facilities with OPV/Sabin PIM do not need to become PEFs to retain such materials, as long as the conditions described in this section are followed. OPV/Sabin PIM can be sub-categorized into three risk levels, depending on type of sample and laboratory procedures being used with these materials (Table 1). The risk level is determined by associating the type of PV PIM retained with the procedures to be performed using the PV PIM. In general, procedures introducing PIM into PV permissive cells (Annex 1) will have a higher risk level than other laboratory procedures (4). For example, inoculation of these materials into PV non-permissive cells, bacterial culture, PCR (DNA or RNA), mass spectrometry, or ELISA would be considered lower risk procedures.

As OPV2 is no longer present in bOPV vaccines worldwide, **facilities are required to apply this guidance to OPV2/Sabin2 PIM now.** This guidance will apply to all OPV/Sabin strains after the cessation of bOPV use.

All facilities that plan to retain OPV/Sabin PV PIM must declare their holdings to the national authority (e.g., MoH) and maintain an accurate inventory of materials in their possession. All OPV/Sabin PV PIM and derived materials should be stored securely, with access restricted to staff who are eligible and competent to work with such materials. Responsibility for compliance with these measures, summarized in Table 2, lies with the facility and its respective national authorities (e.g. Ministry of Health [MoH]).

Risk mitigation strategies for handling OPV/Sabin PIM are described in Table 2.
Table 1. Risk classification of OPV/Sabin PV PIM

<table>
<thead>
<tr>
<th>Type of PV PIM*</th>
<th>Procedure used with PIM</th>
<th>Risk level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Faecal samples or concentrated sewage</td>
<td>Inoculation into PV-permissive cells</td>
<td>Moderate</td>
</tr>
<tr>
<td></td>
<td>Other laboratory procedures**</td>
<td>Low</td>
</tr>
<tr>
<td>Extracted nucleic acid from faecal samples or concentrated sewage</td>
<td>Transfection into PV-permissive cells</td>
<td>Moderate</td>
</tr>
<tr>
<td></td>
<td>Other laboratory procedures**</td>
<td>Lowest</td>
</tr>
<tr>
<td>Respiratory tract samples</td>
<td>Inoculation into PV-permissive cells</td>
<td>Low</td>
</tr>
<tr>
<td></td>
<td>Other laboratory procedures**</td>
<td>Lowest</td>
</tr>
<tr>
<td>Extracted nucleic acid from respiratory tract samples</td>
<td>Transfection into PV-permissive cells</td>
<td>Low</td>
</tr>
<tr>
<td></td>
<td>Other laboratory procedures**</td>
<td>Lowest</td>
</tr>
<tr>
<td>Inactivated PV PIM***</td>
<td>Any</td>
<td>Not PIM</td>
</tr>
</tbody>
</table>

* Cerebrospinal fluid (CSF), serum/blood, and other clinical materials not listed in Table 1 are not considered PV PIM.
** Other laboratory procedures may include, but are not limited to, inoculation into PV non-permissive cells, bacterial culture, PCR (DNA or RNA), mass spectrometry, or ELISA.
*** Must be inactivated using a validated method (25).

Table 2. Risk mitigation strategies for handling OPV/Sabin PV PIM

<table>
<thead>
<tr>
<th>Risk Mitigation Strategies</th>
<th>Moderate</th>
<th>Low</th>
<th>Lowest</th>
<th>Storage Only</th>
</tr>
</thead>
<tbody>
<tr>
<td>Declare PV PIM in National PV Survey and maintain accurate inventory</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Biosecurity (including for example locked freezers, limited access, staff training)</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Biosafety (including for example good laboratory/microbiological practices, and documentation and validation of methods/SOPs, as described in Annex 6 of GAPIII)</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>n/a</td>
</tr>
<tr>
<td>Risk assessment for specific procedures being used</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Polio immunization of staff required</td>
<td>✓</td>
<td>✓</td>
<td>n/a³</td>
<td>n/a</td>
</tr>
<tr>
<td>Certification to a national or international standard that includes biosafety and biosecurity components</td>
<td>✓</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
</tbody>
</table>

1 ✓: must comply with the risk mitigation strategy; n/a: not applicable.
2 For short-term retention only, as determined by the MoH, while the final disposition of the collection is being considered. If “stored” samples are to be handled, the risk mitigation strategies for moderate, low, and lowest risk groups must be applied as appropriate for the sample type and procedure (Table 1).
3 recommended
Guidance for non-poliovirus facilities to minimize risk of sample collections potentially infectious for polioviruses

Guidance for facilities with collections in the MODERATE risk level

In a facility handling OPV/Sabin PV PIM, inoculation of faecal samples or sewage concentrates or transfection of nucleic acid derived from such material into PV-permissive cells (Annex 1) represents the greatest potential risk of inadvertent PV release (4). Inoculation or transfection of PV PIM into PV-permissive cells could result in unintentional PV amplification, greatly increasing the risk of release from the facility if the production of PV was undetected (4).

If inoculation of faecal samples or sewage concentrates or transfection of nucleic acid from OPV/Sabin PV PIM into PV-permissive cells is deemed essential (e.g. to isolate other viruses of public health importance that replicate in the same cell lines as PV), the laboratory and staff should meet stringent standards of biosafety and biosecurity (Table 2). These include adherence to accepted standards of good laboratory and microbiological practices, supported by validation/documentation of methods and implementation of written standard operating procedures, and certification to a national or international biorisk management standard (e.g., Annex 6 of GAPIII). Rigorous risk assessments should be conducted and documented for all procedures that will be used with PV PIM faecal samples or sewage concentrates to identify strategies to minimize risks of inadvertent release.

Laboratory staff should provide proof of PV immunization according to the national schedule. If an individual cannot produce proof of polio immunization, they should be immunized according to national or international recommendations for persons with potential occupational exposure to PV.

Guidance for facilities with collections in the LOW risk level

PV PIM faecal samples or sewage concentrates that will not be inoculated into PV-permissive cells (e.g. samples that will be handled only for nucleic acid extraction, fixation, or inoculation only into PV non-permissive cells) pose a lower risk, as these procedures will not enable live virus to grow (4). Inoculation of respiratory tract specimens or transfection of nucleic acid derived from such material into PV-permissive cells is also of lower risk, largely because of the lower PV incidence and titres in these sample types (4).

However, the laboratory should still adhere to nationally or internationally accepted standards of good laboratory and microbiological practices, supported by validation/documentation of methods and the implementation of written standard operating procedures (Table 2). Similar to the moderate risk group, facilities should conduct and document risk assessments to identify strategies to minimize risks of inadvertent exposure or release.

As above, laboratory staff should provide proof of PV immunization according to the national schedule. If an individual cannot produce proof of polio immunization, they should be immunized according to national or international recommendations for persons with potential occupational exposure to PV.

Guidance for facilities with collections in the LOWEST risk level

Respiratory tract samples that will not be inoculated into PV-permissive cells (e.g. samples that will be handled only for nucleic acid extraction, fixation, or inoculation only into PV non-permissive cells) pose the lowest risk, as the PV incidence and titres in respiratory materials are low (4). Nucleic acid extracted from OPV/Sabin PV PIM that will not be transfected into PV-permissive cells is also of the lowest risk (4). The laboratory should still adhere to accepted standards of good laboratory and microbiological practices, supported by validation/documentation of methods and implementation of written standard operating procedures, and facilities should conduct and document risk assessments to identify strategies to minimize and mitigate risks of inadvertent release (Table 2).

Polio immunization for relevant staff is recommended.
C. Guidance for short-term retention of historical collections while final disposition is being determined

Facilities that require a brief period of storage of valuable PV PIM collections while final disposition is being determined should declare the materials in their National PV Survey and maintain an accurate inventory of materials in their possession (Table 2). PV PIM must be segregated from other materials and stored in locked freezers, with access limited to specifically trained and competent staff. It must be emphasized that this is a short-term measure only, while the final disposition of the collection is being considered. During this time, the facility is still subject to oversight by the national authority (e.g., MoH) and should eventually destroy, inactivate, or transfer the materials, adopt the biorisk management strategies described above if the PIM collection is Sabin/OPV material, or begin the process to become a PEF if the PV PIM collection is categorized as WPV/VDPV and the facility is designated to become a PEF.

Figure 1. PV PIM determination process

* If a sample has a missing or damaged label or the type, country of origin, or date of collection is unknown, the sample should be destroyed or inactivated using a method known to inactivate poliovirus.
ANNEX 1: POLIOVirus PERMISSIVE CELL LINES

PV grows in nearly all human and monkey cell lines, in addition to mouse L cells (L20B, Lα) that were engineered to express the human PV receptor (CD155) (17). The list below highlights some, but not all cell lines susceptible to PV infection.

Extracts of faecal specimens, rectal swabs, respiratory specimens, or concentrated sewage that are inoculated onto the PV-susceptible cells listed below will enable growth of any PVs present.

<table>
<thead>
<tr>
<th>Examples of PV-permissive cell lines</th>
<th>Origin</th>
</tr>
</thead>
<tbody>
<tr>
<td>A549 (42)</td>
<td>Human</td>
</tr>
<tr>
<td>CaCo-2 (43)</td>
<td>Human</td>
</tr>
<tr>
<td>HeLa (42)</td>
<td>Human</td>
</tr>
<tr>
<td>HEP-2 (44)</td>
<td>Human</td>
</tr>
<tr>
<td>HEK (45)</td>
<td>Human</td>
</tr>
<tr>
<td>MRC-5 (46)</td>
<td>Human</td>
</tr>
<tr>
<td>PERC-6 (47)</td>
<td>Human</td>
</tr>
<tr>
<td>RD (44)</td>
<td>Human</td>
</tr>
<tr>
<td>WI-38 (48)</td>
<td>Human</td>
</tr>
<tr>
<td>Various neuroblastoma (e.g. IMR-32, SK-N-MC) (49)</td>
<td>Human</td>
</tr>
<tr>
<td>BGMK (sometimes referred to as BGM or GMK) (19)</td>
<td>Non-Human Primate</td>
</tr>
<tr>
<td>LLC-MK2 (50)</td>
<td>Non-Human Primate</td>
</tr>
<tr>
<td>MA-104 (Vero derivative) (42)</td>
<td>Non-Human Primate</td>
</tr>
<tr>
<td>Primary monkey kidney cells (46)</td>
<td>Non-Human Primate</td>
</tr>
<tr>
<td>Vero (42)</td>
<td>Non-Human Primate</td>
</tr>
<tr>
<td>L20B (51)</td>
<td>Mouse(^5)</td>
</tr>
<tr>
<td>Lα (52)</td>
<td>Mouse(^5)</td>
</tr>
<tr>
<td>Super E-Mix (53)</td>
<td>Hybrid; mixture of cell lines</td>
</tr>
<tr>
<td>R-Mix (54)</td>
<td>Hybrid; mixture of cell lines</td>
</tr>
</tbody>
</table>

\(^4\) Old World monkeys  
\(^5\) Transgenic mouse cell lines
Facilities are encouraged to assess the risk of PV PIM in their collections using the data provided in this Annex. The data address the following parameters:

In support of the identification of WPV2/VDPV2 PIM

The following information in Table 1 of Annex 2 can help determine whether a facility has WPV2/VDPV2 PIM:

1. Year of last detection of WPV2
2. Period of detection of VDPV

The last detection of WPV2 worldwide was in India in October 1999; however, the month and year of the last detection has not been accurately recorded for all countries. The table below systematically refers to December as the month of last detection of WPV2 for specimens collected during a specific year and assigns 31 October 1999 as the date of last detection in any country or territory where there was uncertainty surrounding the last reported case of WPV2. Samples collected up to the indicated dates of last detected WPV2 virus presence are considered WPV2 PIM.

Surveillance activities have detected cVDPVs, iVDPVs and aVDPVs. This guidance refers to the date of any first and last detected VDPV2 with evidence of circulation for each country or territory.

Samples are considered VDPV2 PIM if collected between the time of the first reported VDPV2 and the last detection in any given country or territory.

As indicated in Table 1 of Annex 2, inventories and destruction of unneeded cVDPV2 PIM will have to be completed after the VDPV2 outbreak is declared closed.

In support of the identification of OPV2/Sabin2 PIM

The following information in Table 1 of Annex 2 can help determine whether a facility has OPV2/Sabin2 PIM:

1. tOPV use in RI
   a. Year of tOPV introduction
   b. Month and year of last tOPV use
2. Post-tOPV-cessation SIA using mOPV2 in countries responding to, or at risk of, a PV2 event or outbreak.
   a. SIA start and end dates

In countries showing evidence of continued use of tOPV post-switch, the last date of tOPV use was adjusted to the latest detection. In absence of evidence showing otherwise, samples collected as of three months after the reported last use of tOPV are no longer considered OPV2/Sabin2 PIM.

In absence of evidence showing otherwise, samples collected as of three months after the reported last use of mOPV2 are no longer considered OPV2/Sabin2 PIM.

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6 Source of virus: Acute flaccid paralysis (AFP), environmental sampling (i.e. wastewater, sewage), enterovirus surveillance or any other source, including contact sampling, healthy children and special studies

7 VDPV: OPV virus strains that are > 1% divergent (or ≥ 10 nucleotide changes for types 1 and 3) or > 0.6% divergent (≥ 6 nucleotide changes for type 2) from the corresponding OPV strain in the complete VP1 genomic region (http://polioeradication.org/wp-content/uploads/2016/09/Reporting-and-Classification-of-VDPVs_Aug2016_EN.pdf).

8 The year of tOPV introduction is generally not known. For this reason, the table assumes that materials collected between the listed last WPV2 case and three months after the last use of tOPV, excluding periods with VDPVs, would fall under the category of OPV2/Sabin2 PIMs.

9 In countries and territories where only the year is known, the date of last tOPV use was arbitrarily set at 31 December.
Countries using mOPV2 are expected to repeat and submit their inventories for OPV2/Sabin2 materials once the use of mOPV2 is discontinued.

Annex 2 of the Guidance for non-poliovirus facilities to minimize risk of sample collections potentially infectious for polioviruses is available as a separate document [here](#).