

Milestones and key preparatory activities for poliovirus containment certification

CCS 1-pager

Milestones and key preparatory activities for National Authorities for Containment and Poliovirus-Essential Facilities aiming at poliovirus containment certification against [GAPIII](#), following the [GAPIII Containment Certification Scheme](#)

Certificate of Participation (CP)	Interim Certificate of Containment (ICC)	Certificate of Containment (CC)
<p><i>For a designated poliovirus-essential facility (PEF) engaging in the mechanism to achieve an ICC/CC</i></p> <p>Pre-requisites to achieve a CP:</p> <ul style="list-style-type: none"> • Country to designate PEF • Country to establish and mandate NAC <p>Milestones</p> <ul style="list-style-type: none"> • GCC-endorsed CP issued to each eligible facility; maximum validity: 1.5 years <p>NACs and PEFs should process the CP applications as soon as possible and no later than June 2019</p> <p>Key Activities</p> <p>NAC</p> <ol style="list-style-type: none"> 1. Establish GAPIII auditing capacity 2. Document implementation of GAPIII secondary and tertiary safeguards, as applicable 3. Review applications from facilities 4. Submit satisfactory applications and supporting documents for review to the Global Commission for the Certification of the Eradication of Poliomyelitis (GCC) Containment Working Group (GCC-CWG) at containmentcertification@workspace.who.int 5. Issue a CP signed by the NAC and countersigned by the GCC to facilities recognized as suitable for PEF status or planning to stop activities with poliovirus <p>Designated PEF</p> <ol style="list-style-type: none"> 1. Apply to NAC for a CP using the CP form available at http://polioeradication.org/polio-today/preparing-for-a-polio-free-world/containment/containment-resources/. Use the English version for consideration by the GCC-CWG 	<p><i>For a CP-holding PEF that cannot achieve full GAPIII compliance during the PV2 containment period</i></p> <p>Pre-requisites to achieve an ICC:</p> <ul style="list-style-type: none"> • PEF holds a valid CP <p>Milestones</p> <ul style="list-style-type: none"> • GCC-endorsed ICC issued to CP-holding PEF demonstrating adequate alternative control measures where GAPIII requirements cannot be met; validity: 3 years + maximum of two 1-year extensions • Deadline for ICC issuance: within maximum 18 months of CP issuance date <p>Key Activities</p> <p>NAC</p> <ol style="list-style-type: none"> 1. Establish a contract/agreement with each CP-holding PEF to formally engage them in the ICC process 2. Conduct a gap assessment/initial visit at each CP-holding PEF 3. Conduct a full scope initial audit against Annex 2 or 3 of GAPIII at each CP-holding PEF 4. Submit audit report to facility and, if necessary, request for a corrective action plan (CAP) 5. Review CAP and communicate any additional requested actions to PEF 6. Submit satisfactory ICC applications and supporting documentation to the GCC-CWG for review at containmentcertification@workspace.who.int 7. Issue an ICC signed by the NAC and countersigned by GCC to each successful ICC applicant 8. Perform yearly periodic audits to confirm ICC validation at each ICC-holding PEF <p>PEF</p> <ol style="list-style-type: none"> 1. Enter into a contract/agreement with the NAC to formally engage in the ICC process 2. Be assessed by the NAC-commissioned audit team (gap assessment/initial visit) against GAPIII in preparation for the first full scope audit 3. Prepare risk assessments for identified ICC-nonconformities 4. Be fully assessed against GAPIII 5. Develop a CAP and close out all identified nonconformities 6. Once an ICC is obtained, plan to receive yearly periodic audits 	<p><i>For a CP- or ICC-holding PEF that has achieved full compliance with GAPIII</i></p> <p>Pre-requisites to achieve a CC:</p> <ul style="list-style-type: none"> • Country meets GAPIII secondary and tertiary safeguards, as applicable • PEF holds a valid CP/ICC <p>Milestones</p> <ul style="list-style-type: none"> • GCC-endorsed CC issued to each CP- or ICC-holding PEF presenting evidence that all GAPIII requirements have been met; validity: 3 years, renewable • Deadline for CC issuance: within maximum 18 months of CP or maximum 5 years of ICC issuance date <p>Key Activities</p> <p>NAC</p> <ol style="list-style-type: none"> 1. If a CC is sought directly from a CP, follow the same process as for an ICC, but without the risk assessments for identified ICC-nonconformities 2. In cases of transition from an ICC to a CC, conduct an audit and verify that all ICC-nonconformities have been closed out at each ICC-holding facility 3. Submit satisfactory CC applications and supporting documentation to the GCC-CWG for review at containmentcertification@workspace.who.int 4. Issue a CC signed by the NAC and countersigned by the GCC to each successful CC applicant 5. Perform periodic (annual) and full scope audits (every 3 years) of each CC-holding PEF <p>PEF</p> <ol style="list-style-type: none"> 1. Unless already done for an ICC, enter into a contract/agreement with the NAC to formally engage in the CC process 2. Unless already done for an ICC, be assessed by the NAC-commissioned audit team (gap assessment/initial visit) against GAPIII in preparation for the first full scope audit 3. Be fully assessed against GAPIII 4. Develop a CAP and close out all identified nonconformities 5. Once a CC is obtained, plan to receive yearly periodic audits